

## BlueStar® Top 10 US Listed Alternative Asset Managers Index

The BlueStar® Top 10 US listed Alternative Asset Managers Index (BUALT) tracks the performance of the 10 largest and most liquid US exchange-listed companies in the Alternative Asset Managers Industry. This is a modified market cap-weighted index, and only includes companies that generate at least 75% of their revenue or operating assets from the management of or investment in alternative assets, such as privately-held companies (private equity or venture capital) or real estate/infrastructure assets.

### Key Features



**All Time High/Low**      **52-Week High/Low**

**Total Return Net Index**      284.61/83.31      255.39/176.81

#### Size and Liquidity Requirements

Full MCap of at least 150 mln USD. Three month average-daily-trading volume of at least 1 mln USD at a review and also at the previous two reviews. At least 250,000 shares traded per month over the last six months at a review and also at the previous two reviews.

#### Pure-Play

Companies have to generate at least 75% of revenues or operating activity from managing investments in privately-held companies, real estate or infrastructure. The index may include BDCs and does not include companies that provide financing to private equity or other alternative asset managers.

#### Diversification

Company weightings are capped at 15%.

#### Review

Semi-annual in June and December.

### Index Data

#### INDEX PARAMETERS

<b>Launch Date</b>	24 Aug 2021	<b>Components</b>	10
<b>Type</b>	Sector	<b>Volatility</b> (250 days)	34.50
<b>Currency</b>	USD	<b>Full MCap</b> bn USD	192.43
<b>Base Date</b>	31 Dec 2015	<b>Float MCap</b> bn USD	149.41
<b>Base Value</b>	100.00	<b>Correlation*</b> (250 days)	0.81

\* MSCI AC World Consumer Finance

#### FUNDAMENTALS\*

<b>Price/Earnings Trailing</b>	50.43
<b>Price/Book</b>	1.95
<b>Price/Sales</b>	3.54
<b>Price/Cash Flow</b>	13.53
<b>Dividend Yield</b>	4.47

\* as of 28 Feb 2023

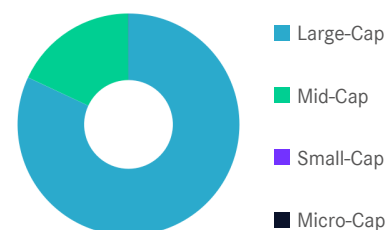
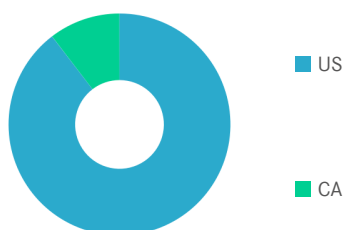
#### ANNUALISED PERFORMANCE\*

<b>1 Month</b>	-12.67%
<b>1 Year</b>	-18.27%
<b>3 Years</b>	19.80%
<b>5 Years</b>	9.43%
<b>7 Years</b>	9.88%

\* Total Return Net Index

### Country and Size Weightings

Country	Count	Weight
United States	9	89.61%
Canada	1	10.39%



Size	Count	Weight
Large-Cap (> 6 bn)	7	81.96%
Mid-Cap (1.5 bn - 6 bn)	3	18.04%
Small-Cap (0.2 bn - 1.5 bn)	0	0.00%
Micro-Cap (0.0 bn - 0.2 bn)	0	0.00%

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### Sector Weightings

Sector	Count	Weight
Financials	10	100.00%



### Index Components

Top Components by Weight	Country	Weight	Best Performing Components	YTD	1 Day
BLACKSTONE INC	US	16.45%	MAIN STREET CAPITAL CORP	5.94%	-1.05%
KKR & CO INC	US	15.39%	BROOKFIELD ASSET MANAGEMENT LTD - CL	8.27%	-1.33%
APOLLO GLOBAL MANAGEMENT INC	US	13.85%	FS KKR CAPITAL CORP	3.70%	-1.36%
BROOKFIELD ASSET MANAGEMENT LTD - CL	CA	10.39%	CARLYLE GROUP INC	2.92%	-1.43%
ARES CAPITAL CORP	US	9.25%	ARES MANAGEMENT CORP	10.77%	-1.60%
ARES MANAGEMENT CORP	US	8.35%			
CARLYLE GROUP INC	US	8.28%			
FS KKR CAPITAL CORP	US	6.30%	<b>Worst Performing Components</b>	<b>YTD</b>	<b>1 Day</b>
OWL ROCK CAPITAL CORP	US	6.16%	APOLLO GLOBAL MANAGEMENT INC	-10.88%	-3.78%
MAIN STREET CAPITAL CORP	US	5.59%	BLACKSTONE INC	15.49%	-2.72%
			KKR & CO INC	4.63%	-2.61%
			OWL ROCK CAPITAL CORP	5.51%	-2.49%
			ARES CAPITAL CORP	-3.09%	-2.02%
<b>Subtotal</b>		100.00%			

### Symbols

	Symbol	ISIN	WKN	Sedol	Bloomberg	Reuters	Telekurs
<b>Price Index</b>	BUALT	DE000SL0D5D3	SL0D5D	BP6S9K5	BUALT	.BUALT	113319694
<b>Total Return Net</b>	BUALNTR	DE000SL0D5E1	SL0D5E	BP6S9M7	BUALNTR	.BUALNTR	113319695
<b>Total Return Gross</b>	BUALTTR	DE000SL0D5F8	SL0D5F	BP6S9N8	BUALTTR	.BUALTTR	113319696

For further information visit [www.marketvector.com](http://www.marketvector.com)

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